DoD Scientific & Technical Information Program (STIP)

18 November 2008

Shari Pitts





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Technical Reports Program Analyst

Information Collection Division

Defense Technical Information Center





- May 14 1951 Directive of Secretary of Defense George C. Marshall
- Establishes the Armed Services Technical Information Agency (ASTIA)
 - Joint project ... with the responsibility of providing an integrated program of scientific and technical report services for the Department of Defense and its contractors.
 - "The decision to launch this undertaking was based upon recognition of the fact that the end product of all Department of Defense sponsored research and development --i.e., the recorded conclusions-- costing vast sums of money and irreplaceable scientific effort, must be assembled, organized, preserved, and made available for future reference by those concerned with exploring and guarding the scientific frontiers of the Nation."





DoD STIP Policy

- Office of the Undersecretary of Defense for Acquisitions, Technology and Logistics (OUSD AT&L)
 Director of Defense Research and Engineering (DDR&E)
 - DoDD 3200.12
 DoD Scientific and Technical Information Program (STIP)
 - DoDI 3200.14
 Principles and Operational Parameters of the DoD Scientific and Technical Information Program
 - DoDD 5230.24
 Distribution Statements on Technical Documents
 - DoDD 5230.25
 Withholding of Unclassified Technical Data from Public Disclosure
- Updates in coordination





DFARS Part 235 R&D Contracting Clauses

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235.010 Scientific and technical reports.

(b) For DoD, the Defense Technical Information Center is responsible for collecting all scientific and technical reports. For access to these reports, follow the procedures at PGI 235.010(b).

PGI 235.010 Scientific and technical reports.

(b) The Defense Technical Information Center (DTIC) is responsible for collecting all scientific or technological observations, findings, recommendations, and results derived from DoD endeavors, including both in-house and contracted efforts.

The DTIC has eligibility and registration requirements for use of its services. Requests for eligibility and registration information should be addressed to DTIC-BC Registration, 8725 John J. Kingman Road, Fort Belvoir, VA 22060-6218, or may be obtained at http://www.dtic.mil.





DFARS Part 235 R&D Contracting Clauses

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235.071 Additional contract clauses.

(d) Use the clause at 252.235-7011, Final Scientific or Technical Report, in solicitations and contracts for research and development.

252.235-7011 Final Scientific or Technical Report.

As prescribed in 235.071(d), use the following clause: FINAL SCIENTIFIC OR TECHNICAL REPORT (NOV 2004) The Contractor shall—

- (a) Submit two copies of the approved scientific or technical report delivered under this contract to the Defense Technical Information Center, Attn: DTIC-O, 8725 John J. Kingman Road, Fort Belvoir, VA 22060-6218;
- (b) Include a completed Standard Form 298, Report Documentation Page, with each copy of the report; and
- (c) For submission of reports in other than paper copy, contact the Defense Technical Information Center or follow the instructions at http://www.dtic.mil.





STIP Benefits for DoD

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- Leverages results to maximize large investment in DoD research & engineering
- Prevents unnecessary or redundant research
- Ensures S&T information is only accessible to those who should have it
- Enables the conversion of completed research into the production of mature technology

Real advantages...not just regulations



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- Facilitate technology transfer and technology transition
- Reduce duplication of effort to maximize resources
- Identify potential sources of funding support
- Locate collaborators, technical support and expertise

Real advantages...not just regulations





Benefits for R&D Sponsors

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Track and link related work

- "Paperless" archive
 - Digitization
 - Online full-text 24/7 access
- Administrative & document management cost savings
 - catalogs and indexes
 - handles secondary dissemination and authorizes dissemination of restricted documents
 - ensures secure, long-term storage and preservation
- Announces capabilities and technologies known to appropriate, authorized audiences

Real advantages...not just regulations

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Ensuring Preservation

- New input converted to full-text electronic documents
- Archival collection digitized
- Handles assigned for persistent identification
 - Presently only for public release reports
- Digital copy stored off-site





Public Release Outlets

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Wide distribution of public release

- National Technical Information Service (NTIS) <u>www.ntis.gov</u>
- Online Computer Library Center (OCLC) <u>www.oclc.org</u>
 Combined catalog of 1 billion unique titles held by 53,548 libraries in 96 countries
- Open Archives Initiative (OAI)
 http://www.dtic.mil/dtic/aboutus/current/openarchive.html
 Discoverable by WWW search engines Google, Monster, Yahoo, etc.

Preservation and public access

- Library of Congress http://www.loc.gov/rr/scitech/trs/trscollections.html
- British Library
 http://www.bl.uk/services/document/reportsus.html
- Canada Institute for Science & Technology
 http://cisti-icist.nrc-cnrc.gc.ca/about/collablist_e.html#docdel





Controlled Secondary Distribution

- DTIC matches:
 - User Registration Authorization
 - Classification and distribution statement markings
- Therefore, all documents and research summaries submitted to DTIC must have a distribution statement.





Controlled Secondary Distribution

- DoDD 5230.24 Distribution Statements on Technical Documents
 - Release of technical documents provided after primary distribution by other than the originator or controlling office.
 - Includes loaning, allowing the reading of, or releasing a document outright, in whole or in part.
- DoD <u>standard</u> & uniform management approach
 - Common frame of reference and vocabulary
 - For controlling offices that must assign distribution statements and review other requests for release
 - For recipients who need to understand their OPSEC/INFOSEC responsibilities in handling the data
 - Fosters sharing and safeguarding information





Distribution Statements

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Allow us to tell someone else what they can do with your document without asking you.

Include--

- Intended Audience
- Reason for Restriction
- Controlling DoD Office
- Date of Determination

Remember:
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Statements only
control secondary
distribution





Distribution Statements Authorized Audience

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Seven (7) categories

- Distribution A: Public Release
- Distribution B: US Government Only
- Distribution C: US Government & Contractors
- Distribution D: DoD & Contractors
- Distribution E: DoD only
- Distribution F: Further dissemination as directed
- Distribution X: Export Control





Distribution Statements Reasons for Limitation

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Determine Audience Choice

More than one may apply:

- 1) Administrative/Operational Use
- 2) Contractor Performance Evaluation
- Critical Technology **
- **Direct Military Support ****
- Foreign Government Information 5)
- **Proprietary Information**
- Test and Evaluation
- **Premature Dissemination** 8)
- 9) Software Documentation
- Specific Authority

** Also subject to Export-Control
DODD 5230.25 Withholding of Unclassified Technical Data From Public Disclosure
http://www.dtic.mil/whs/directives/corres/html2/d523025x.htm





Hard Copy / CD (SF-298) & Non-Print (DTIC Form 530):

Defense Technical Information Center (DTIC) 8725 John J. Kingman Dr., Suite 0944 Fort Belvoir, VA 22060 Attn: DTIC-OA

Electronic Documents (SF-298):

Email: TR@dtic.mil

(unclassified-unlimited documents)

STINT-TR/EDOC

(unclassified-unlimited & unclassified-limited documents)





Additional Information

- Submitting Items to DTIC's Technical Reports Database http://www.dtic.mil/dtic/submit
- A Reference Guide for Marking DoD Documents ADA423966 http://handle.dtic.mil/100.2/ADA423966
- DTIC Information Collection Division TR Integration Team
 - (703) 767-8040
 - DSN: 427-8040
 - 1-800-CAL-DTIC menu option 4, 1
 - AQ@dtic.mil





Online Resources

- DoD Instruction 3200.14, Principles & Operational Parameters of the STIP
 - http://www.dtic.mil/whs/directives/corres/pdf/320014p.pdf
- **DoD Directive 5230.24,** Distribution Statements
 - http://www.dtic.mil/dtic/pdf/submit/523024p.pdf
- DoDD 5230.25, Export Control
 - http://jitc.fhu.disa.mil/jitc_dri/pdfs/d523025p.pdf
- Selection Criteria
 - http://www.dtic.mil/dtic/submit/guidance/criteria/index.html
- Electronic Submission
 - http://www.dtic.mil/dtic/submit/electronic/index.html
- Form 298 & 530 / Private STINET's Scheduled Search Service
 - http://www.dtic.mil/dtic/submit/howtosubmit/howtosubmit.html
- Tutorials and Other Guidance for Submitting Documents to DTIC
 - http://www.dtic.mil/dtic/submit/guidance/tutorialsandotherguidance.html





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